

The State Bar of California
Law Corporations
Special Report Form

Office of Certification
The State Bar of California
180 Howard Street
San Francisco, CA 94105-1639
415/538-2100

Please refer to Important Notes before completing form. THERE IS NO FEE FOR FILING THIS SPECIAL REPORT.

1. State Bar Law Corporation Certificate of Registration Number:	2. Name and Phone Number of Contact Person: ()
3.	Law Corporation Name: Is this a request for a law corporation name change? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please note that the State Bar must approve all law corporation names. To request a name change, you MUST attach the following: <ul style="list-style-type: none"> • An original certified copy of amended Articles of Incorporation reflecting the new name as certified by the Secretary of State. You MUST include the original blue and white cover page from the Secretary of State's office. • Evidence of sufficient security for claims setting forth the corporation's new name. (See Boxes 13 and 14.)
4.	Address of State Bar Law Corporation Purposes Is this a request for a law corporation address change? <input type="checkbox"/> Yes <input type="checkbox"/> No
5.	Articles of Incorporation Has your law corporation amended its Articles of Incorporation (for a name change or otherwise)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, attach an original certified copy of amended Articles of Incorporation. You MUST include the original blue and white cover page from the The Secretary of State's office Please complete a new guarantee setting forth the new name.
6.	By-Laws (insofar as they relate to Rule IV-C) Has your law corporation amended its by-laws as they relate to Law Corporation Rule IV-C? (See Important Notes for Rule IV-C language.) <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, attach only the portions of your law corporation's by-laws that contain language from Rule IV-C, certified by the secretary of the law corporation. Signature by the secretary must be original .

7. **Shareholders**

Have there been any changes in shareholders?

☐ Yes ☐ No

If yes, indicate change(s) and effective date(s) below. Attach additional sheets if necessary.

Check one Add Omit	Name of Shareholder	Jurisdiction(s) in Which Admitted to Practice	Attorney License/ Member Number	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				

8. **Directors**

Have there been any changes in Directors?

☐ Yes ☐ No

If yes, indicate change(s) and effective date(s) below.

Attach additional sheets if necessary.

(Note: Directors of a law corporation must also be shareholders.

See California Corporations Code § 13403.)

Check one Add Omit	Name of Director	Jurisdiction(s) in Which Admitted to Practice	Attorney License/ Member Number	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				

9. **Officers**

Have there been any changes in officers?

☐ Yes ☐ No

If yes, indicate change(s) and effective date(s) below. If you OMIT an officer, please ADD the person who has replaced them. Attach additional sheets if necessary.

(Note: In law corporations with more than one shareholder, all officers must be shareholders. See California Corporations Code § 13403.)

Check one Add Omit	Name of Officer	Office Held (Pres., Treas., Sec.)	Jurisdiction(s) in Which Admitted to Practice	Attorney License/ Member Number	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>					
<input type="checkbox"/> <input type="checkbox"/>					
<input type="checkbox"/> <input type="checkbox"/>					

10. **Employees**

Have there been any changes in employees practicing law on behalf of the corporation?

☐ Yes ☐ No

If yes, indicate change(s) and effective date(s) below. Attach additional sheets if necessary.

Check one Add Omit	Name of Employee	Jurisdiction(s) in Which Admitted to Practice	Attorney License/ Member Number	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				

11. **Law Practice Relationship**

A. Have there been any changes in entities of individuals practicing law on behalf of a **partnership** in which the corporation is a partner, or held out by such partnership as being available to practice law on behalf of the partnership as "of counsel" or otherwise?

☐ Yes ☐ No

If yes, complete the following:

(NOTE: In addition to naming the law corporation or law firm, you must list the name (s) of all individual attorneys practicing on behalf of the law corporation and/or the law firm practicing in partnership with your law corporation.)

1. Law Corporation

Check one Add Omit	Name of Law Corporation	California State Bar Cert. of Reg. Number	"Of Counsel" (Yes/No)	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				

11. **A.2. Non-Law Corporation**

Check one Add Omit	Name of Individual	Jurisdiction(s) in Which Admitted to Practice	Attorney License/Member Number	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				

B. Have there been any changes in entities or individuals practicing law on behalf of an **association** with which the corporation has established a relationship of a continuous nature, or held out by such an association as being available to practice law on behalf of the association as "of counsel" or otherwise? If yes, complete the following: (NOTE: In addition to naming the law corporation or law firm, you must list the name(s) of **all** individual attorneys practicing law on behalf of the law corporation and/or the law firm practicing in association with your law corporation.)

☐ Yes ☐ No

Check one Add Omit	Name of Law Corporation	California State Bar Cert. of Reg. Number	"Of Counsel" (Yes/No)	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				

11 **B.2. Non-Law Corporation**

Check one Add Omit	Name of Individual	Jurisdiction(s) in Which Admitted to Practice	Attorney License/Member Number	Effective Date of Change
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				
<input type="checkbox"/> <input type="checkbox"/>				

12. **A. Number of Shareholders:**

B. Number of Non-Shareholders practicing law on behalf of the corporation, including all entities/individuals practicing in partnership, association, "of counsel", part-time, and/or on a contract basis:

C. Total Number practicing law on behalf of the corporation, including all entities/individuals practicing in partnership, association, "of counsel", part-time, and/or on a contract basis:

13. **Evidence of Sufficient Security for Claims – Certificate of Insurance**

A. Did your law corporation register with the State Bar on or before October 27, 1971? If no, go to Box 14. If yes, go to 13.B. You **MAY NOT** file proof of insurance in lieu of a standard law corporation guarantee if you answer **NO** to this question.

☐ Yes ☐ No

B. Do you fulfill evidence of security for claims by filing proof of insurance? If yes, go to Box 13.C. If no, go to Box 14.

☐ Yes ☐ No

C. Has the law corporation submitted to the State Bar a copy of evidence of the most recent renewal of its certificate of insurance, with sufficient amounts to cover the total number of persons practicing law? (See Box 12.C.) If yes, go to Box 15. If no, you must submit a copy of a current insurance certificate with adequate amounts, or a standard law corporation guarantee in lieu of an insurance certificate. If you are filing a standard law corporation guarantee in lieu of an insurance certificate, go to Box 14. If you are filing a certificate of insurance with this Special Report, attach a copy of a current insurance certificate and go to Box 15.

☐ Yes ☐ No

To calculate the required amounts for insurance, complete the following worktable.

Per Claim: Multiply the number from Box 12.C. by \$50,000 (e.g. 5 x \$50,000 = \$250,000). If answer exceeds \$500,000, insert \$500,000.	_____ Per Claim
Per Calendar Year: Multiply the number from Box 12.C. by \$100,000 (e.g. 5 x \$100,000 = \$500,000). If answer exceeds \$5,000,000, insert \$5,000,000.	_____ Per Calendar Year
Deductible: Multiply the number from Box 12.C. by \$2,000 (e.g. 5 x \$2,000 = \$10,000). Deductible amounts cannot exceed this number.	_____ Maximum Deductible

(NOTE: It is the administrative policy of this office to require corporations that registered with the State Bar on or before October 27, 1971 that choose to file a guarantee in place of proof of insurance, to continue to file a guarantee with this office. Such law corporations **MAY NOT** revert to filing proof of insurance.)

14. **Evidence of Sufficient Security for Claims – Standard Law Corporation Guarantee. Please answer ALL questions in this box.**

A. Are you requesting a name change? (See Box 3.)

☐ Yes ☐ No

(NOTE: If you are requesting a name change, your standard law corporation guarantee must reflect the new name with the date effective as the date amended Articles of Incorporation were filed with the Secretary of State.)

B. Have any shareholders been added or omitted? (See Box 7.)

☐ Yes ☐ No

C. Has the total number of persons practicing law on behalf of the corporation (including all entities/individuals practicing in partnership, association, "of counsel", part-time, and/or on a contract basis) increased? (See Box 12.C.)

☐ Yes ☐ No

If you checked yes to any of the above, you must file a new standard law corporation guarantee. A guarantee form is attached for law corporations that need to submit a new guarantee.

To calculate the required dollar amounts to be set forth on the attached guarantee, complete the following worktable:

Per Claim: Multiply the number from Box 12.C. by \$50,000

(e.g. 5 x \$50,000 = \$250,000). If answer exceeds \$500,000, insert \$500,000.

_____ Per Claim

Per Calendar Year: Multiply the number from Box 12.C. by \$100,000

(e.g. 5 x \$100,000 = \$500,000). If answer exceeds \$5,000,000, insert \$5,000,000.

_____ Per Calendar Year

NOTE: If the total number of persons practicing law on behalf of the corporation has not increased and if you checked no for all items 14.A-C., you are not required to file a new standard law corporation guarantee.

D. Are you filing a new standard law corporation guarantee with this Special Report? ☐ Yes ☐ No

15. DECLARATION

I am licensed to practice law in _____
(Jurisdiction(s) in which licensed to practice)

and the _____
(Name of office, e.g., president, secretary, treasurer)

of _____
(Name of corporation)

and as such make this declaration for and on behalf of said corporation. I have read the foregoing report and any attachments to it and know the contents thereof, and the same are true of my own knowledge. I declare under penalty of perjury, under the laws of the State of California, that the foregoing and any attachments to it are true and correct.

Executed On: _____

Original Signature: _____

Type or Print Name: _____

16. Mailing Information. Mail this Special Report to the following address:

The State Bar of California
Law Corporation
180 Howard Street
San Francisco, CA 94105-1639.